Sheet 1 (A) of 1 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. SERIAL NO. SUBSTITUTE FORM PTO-1449 EXPRESS MAIL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE **TAZ-259** EV371410951US INFORMATION DISCLOSURE **APPLICANT** Cerio, Jr., et al. STATEMENT BY APPLICANT **GROUP** FILING DATE (Use several sheets if necessary) (37 CFR 1.98(b)) **Filed Herewith** U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** CLASS SUBCLASS PATENT NUMBER DATE PATENTEE INITIAL 7 /RM/ 6 2 8 09/2001 Drewery et al. A.B A.C A.D A.E A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION** COUNTRY OR DOCUMENT **PUBLICATION** (YES/NO) CLASS SUBCLASS NUMBER DATE PATENT OFFICE A.M A.N A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Yasar et al., Patent Application Publication No. US 2003/0034244 A1, Pub. Date February 20, 2003 A.R

/RM/

A.S A.T

EXAMINER

/Rodney Mcdonald/

DATE CONSIDERED

01/03/2008

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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Title of Invention

IONIZED PHYSICAL VAPOR DEPOSITION (iPVD) PROCESS

Application Number:

10/811326

Confirmation Number:

9467

First Named Applicant:

Frank Cerio

Attorney Docket Number:

TAZ259

Art Unit:

1753

Examiner:

Steven Versteeg

Search string:

(6444099 or 6642146).pn

<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/RM/	1	6444099	2002-09-03	Sasaki et al.			
/RM/	2	6642146	2003-11-04	Rozbicki et al.			

Remarks

Note: Remarks are not for responding to an office action.

I hereby certify that each item of information contained herein was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. (37 C.F.R. 1.704(d))

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